



04-08-00 #11 IDS Gp/3635  
D. Townsend  
05/07/02 PATENT  
Attorney Docket No.: 3374-4-1-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re the application of:

MITCHELL

Serial No.: 09/886,297

Filed: June 20, 2001

Atty. File No.: 3374-4-1-1

For: "METHOD AND APPARATUS FOR  
ERECTING WALL PANELS"

Group Art Unit: 3635

Examiner: TRAN A, PHI DIEU N

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

"EXPRESS MAIL" MAILING LABEL NUMBER: EV 068074491 US  
DATE OF DEPOSIT: April 4, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST  
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE  
DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT  
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Angela P. Davis

SIGNATURE:

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the Examiner. Copies  
of the cited references:

☒ [X] Are enclosed herewith.

☐ Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to  
the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, titled \_\_\_\_\_, and  
having a filing date of \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120

☐ To the best of applicants' belief, the pertinence of the foreign-language references are believed to  
be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the  
accuracy of the translation.

☐ Examiner's attention is drawn to the following co-pending applications: Serial Nos. \_\_\_\_\_.

Submission of the above information is not intended as an admission that any item is citable under the  
statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art  
would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be  
drawn as to the pertinence of the references based on the order in which they are presented. Submission of this  
statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this  
application and the references made of record therein.

RECEIVED

APR 10 2002

GROUP 3600

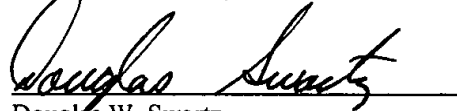
FEES

NOT  
Considered

<input checked="" type="checkbox"/>	<p>No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is being filed:</p> <p><input type="checkbox"/> within three months of the filing date of the application or date of entry into the national stage of an international application or</p> <p><input checked="" type="checkbox"/> before the mailing date of a first Office Action on the merits, whichever occurs last. 37 C.F.R. 1.97(b). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input type="checkbox"/>	<p>The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever occurred last but before the mailing date of either:</p> <p>(1) a final action under 37 C.F.R. 1.113 or</p> <p>(2) a notice of allowance under 37 C.F.R. 1.311, whichever occurs first. This Information Disclosure Statement is accompanied by:</p> <p><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p> <p>OR</p> <p><input type="checkbox"/> A check in the amount of \$180.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>This Information Disclosure Statement is being submitted after the mailing date of a final action under §1.113 or a notice of allowance under § 1.311, but before payment of the issue fee.</p> <p><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</p> <p>AND</p> <p><input type="checkbox"/> Applicants hereby petition for consideration of the references disclosed herein. Enclosed is a petition fee in the amount of \$130.00 under 37 C.F.R. 1.17(i)(1). Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>Applicant elects to pay the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement, and the enclosed check includes \$240.00 for payment of such fee. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</p>

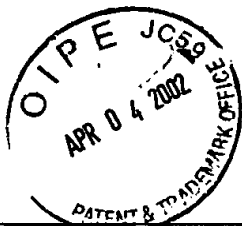
Respectfully submitted,

SHERIDAN ROSS, P.C.

  
 Douglas W. Swartz  
 Registration No. 37,739  
 1560 Broadway, Suite 1200  
 Denver, CO 80202-5141

April 4, 2002  
 Date

SHERIDAN ROSS, P.C.  
 303-863-9700  
 FAX: 303-863-0223  
 J:\3374\4-1\INDSFORM-2.WPD



#11 Part of JDS

SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 3374-4-1-1	SERIAL NO. 09/886,297
	APPLICANT MITCHELL	
	FILING DATE June 20, 2001	GROUP ART 3635

RECEIVED

APR 10 2002

GROUP 3600

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA	5875592	03/1999	ALLMAN, ET AL.	52	90.2	
	AB	4924647	05/1990	DRUCKER	52	235	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AC	Robertson, Curtain Wall Systems FORMAWALL 1000/2000 Technical Data Details, 1998 H. H. Robertson Company, pgs. 1-34
	AD	FORMAWALL, Panel and Window Systems, Detailed Drawings, H. H. Robertson, pgs. 1-101
	AE	Anderson, et al. "Rainscreen Cladding a guide to design principles and practice," 1988, pgs. 1-98
	AF	BD&C Emerging Technology, "Wall Systems Strive to Foil Moisture Intrusion," May, 1991, 4 pages

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	